

Docket No.  
Inventor(s)  
Title  
Date

10/62/10  
8954  
09/29/01

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

jc796 U.S.PTO  
09/27/01  
01/29/01



Docket No. : 12082.05/JWE  
 Inventor(s) : Kyle R. Webb, et al.  
 Title : OCULAR FIXATION AND STABILIZATION DEVICE FOR  
 OPHTHALMIC SURGICAL APPLICATIONS

Express Mail Label No. : EL599668128US

**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

Date: January 29, 2001

1.  **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).
2.  Applicant claims small entity status under 37 CFR §1.27. A small entity declaration is enclosed.

### 3. IF A CONTINUING APPLICATION

- This application is a [divisional/continuation or continuation-in-part] of patent application No. \_\_\_\_\_.
- This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_ (Docket No. \_\_\_\_\_).

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied is supplied under item 4c below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 4. APPLICATION COMPRISED OF

- (a) Specification
  - 43 Specification, claims and Abstract (total pages)
- (b) Drawings
  - 7 Sheets of drawing(s) (FIGS. 1 to 10)
- (c) Declaration and Power of Attorney
  - Newly executed
  - Copy from a prior application (37 CFR 1.63(d))  
(for continuation and divisional)
  - An **unsigned** declaration
    - (i) DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d) and 1.33(b))

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5.  **Microfiche Computer Program (Appendix)**

6.  **Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)**

- Computer Readable Copy
- Paper Copy (identical to computer copy)
- Statement verifying identity of above copies

7. **ALSO ENCLOSED ARE**

- Preliminary Amendment
- Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- 37 CFR 3.73(b) Statement (where there is an Assignee)
- This application is owned by pursuant to an Assignment recorded at Reel \_\_, Frame \_\_
- Information Disclosure Statement (IDS)/PTO-1449
- Copies of IDS Citations
- Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- English Translation Document (*if applicable*)
- Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- Other

8. **CORRESPONDENCE ADDRESS**

Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH, IP Department,**  
**P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California 92660-6441.**

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By 

Norman E. Carte Reg. No. 30,455 for  
John W. Eldredge  
Reg. No. 37,613  
(949) 725-4143

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: January 29, 2001**

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<b>FEE CALCULATIONS</b>					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	40 -20 = 20	20	20 x \$9.00	\$180.00
B	INDEPENDENT CLAIMS	5 - 3 = 2	2	2 x \$40.00	\$ 80.00
C	SUBTOTAL		SMALL ENTITY FEE = LARGE ENTITY FEE =	A + B 2 X (A + B)	\$260.00
D	BASIC FEE		SMALL ENTITY FEE = LARGE ENTITY FEE =	\$355.00 \$710.00	\$355.00
E	MULTIPLE-DEPENDENT CLAIMS FEE		SMALL ENTITY FEE = LARGE ENTITY FEE =	\$135.00 \$270.00	
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				\$615.00

List Independent Claims: 1, 15, 20, 29, 33

Fees Paid

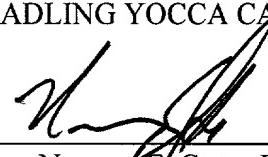
**METHOD OF PAYMENT**

- Payment Enclosed: Check for \$655.00 (including filing fee of \$615.00 and \$40.00 recordation fee).
- The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**
- No payment is enclosed.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

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